Computer Networks
CS3611

Application Layer-Part 1

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The slides are adapted from those provided by Prof. Romit Roy Choudhury.

Chapter 2: Application layer

- 2.1 Principles of network applications
- 2.2 Web and HTTP
- 2.3 FTP
- 2.4 Electronic Mail
  - SMTP, POP3, IMAP

Chapter 2: Application Layer

Our goals:

- Principles of network application design
  - transport-layer service models
  - client-server paradigm
  - peer-to-peer paradigm

- Popular protocols through case studies
  - HTTP
  - FTP
  - SMTP / POP3 / IMAP
  - DNS

Some network apps

- E-mail
- Web
- Instant messaging
- Remote login
- P2P file sharing
- Multi-user network games
- Streaming stored video clips
- Internet telephone
- Real-time video conference
- Massive parallel computing
-
-
-

Next generation: The network will be the computer. Most Applications will run over the network. Local PC minimaly required
Example: Shimo, Overleaf, Google spread sheet

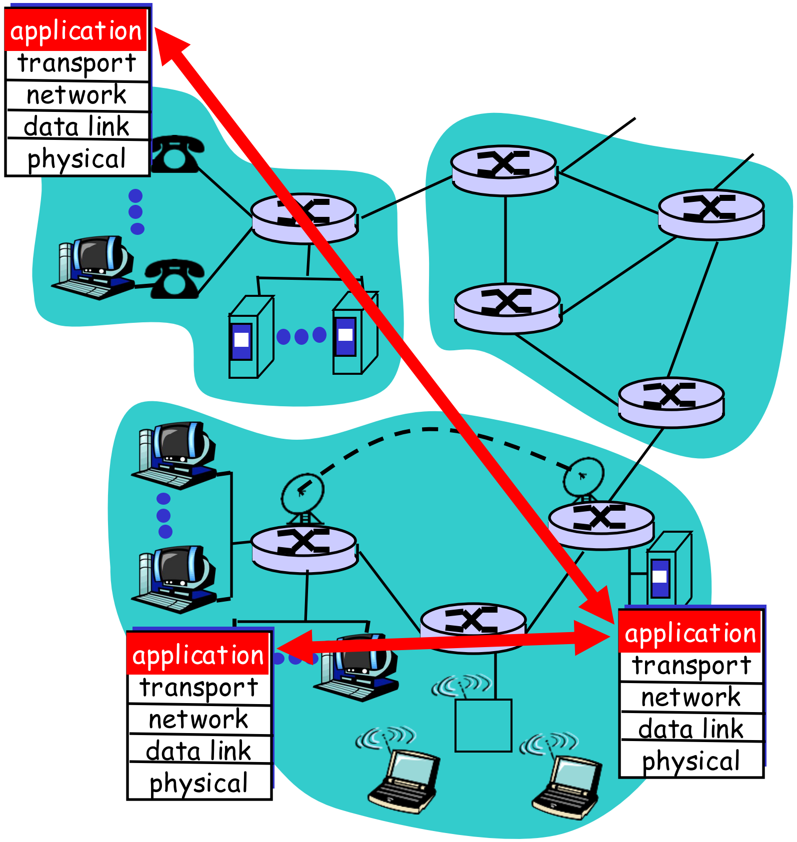
Creating a network app

Write programs that

- run on different end systems and
- communicate over a network.
- e.g., Web: Web server software communicates with browser software

little software written for devices in network core

- network core devices do not run user application code
- application on end systems allows for rapid app development, propagation



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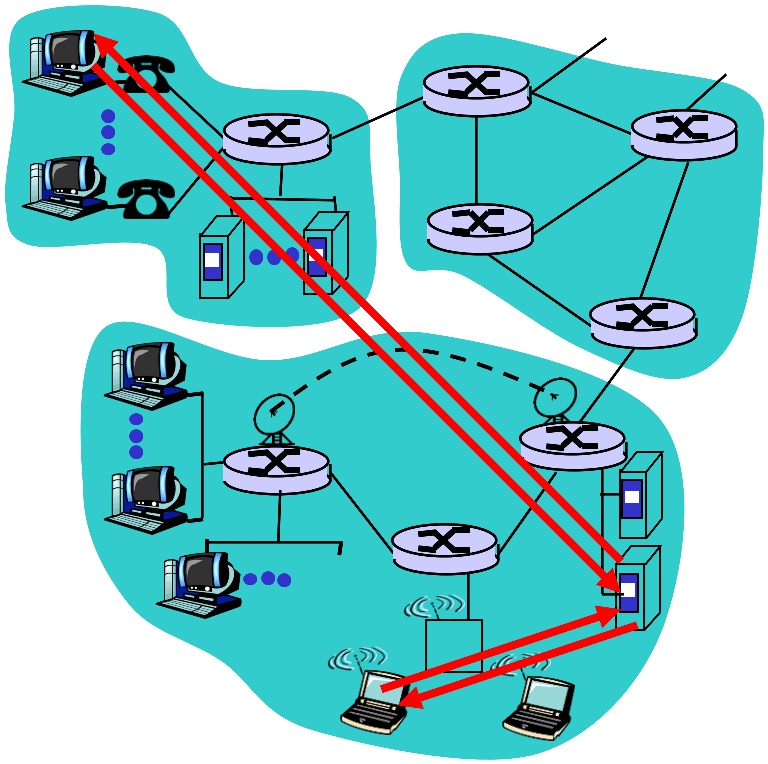
Application architectures

- Client-server
- Peer-to-peer (P2P)
- Hybrid of client-server and P2P

Client-server architecture



server:

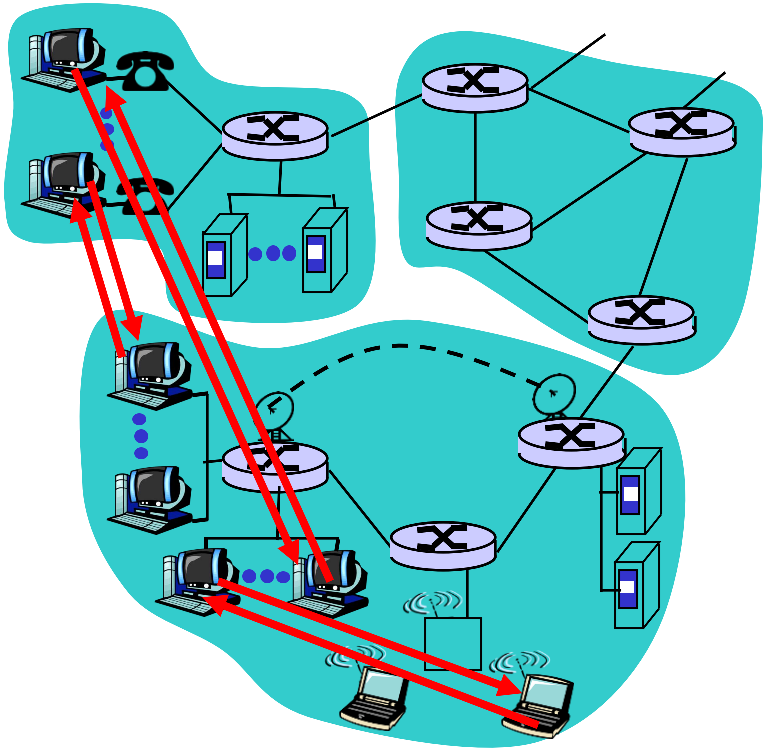
- always-on host
- permanent IP address
- server farms for scaling

clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

Pure P2P architecture

- no always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses
- example: BitTorrent、百度网盘(peer-assisted download acceleration) 、比特币网络



Highly scalable but difficult to manage

Hybrid of client-server and P2P

Skype

- Internet telephony app
- Finding address of remote party: centralized server(s)
- Client-client connection is direct (not through server)

Instant messaging

- Chatting between two users is P2P
- Presence detection/location centralized:
  - User registers its IP address with central server when it comes online
  - User contacts central server to find IP addresses of buddies

Processes communicating

Process: program running within a host.

- within same host, two processes communicate using inter-process communication (defined by OS).
- processes in different hosts communicate by exchanging messages

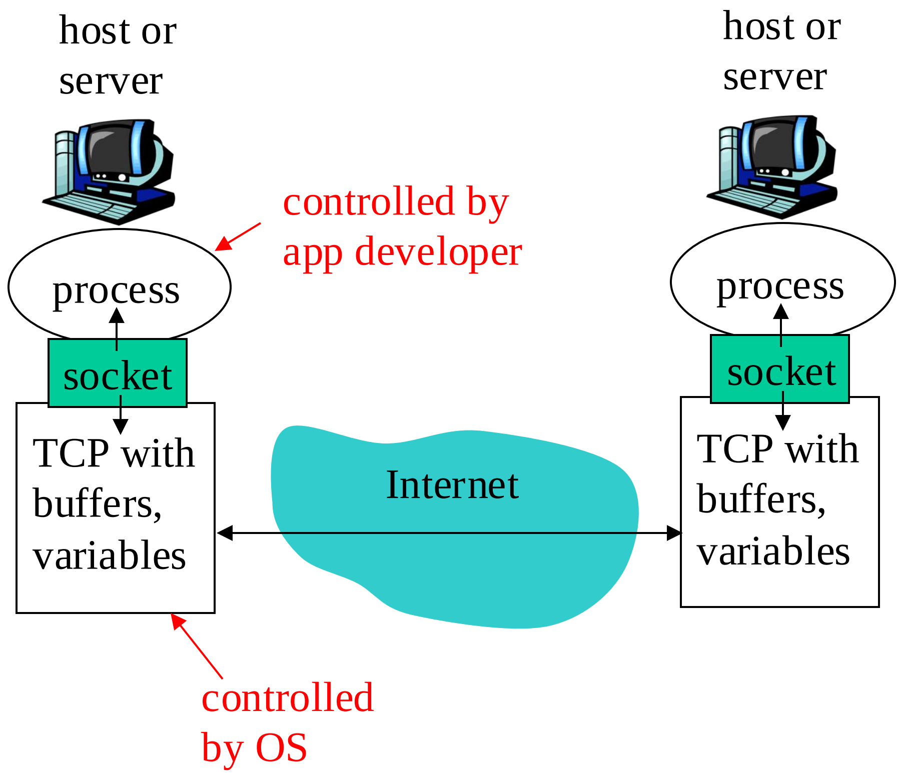
Client process: process that initiates communication

Server process: process that waits to be contacted

- Note: applications with P2P architectures have client processes & server processes

Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door which brings message to socket at receiving process



- API: (1) choice of transport protocol; (2) ability to fix a few parameters (lots more on this later)

Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q: does IP address of host on which process runs suffice for identifying the process?

Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q: does IP address of host on which process runs suffice for identifying the process?
  - Answer: NO, many processes can be running on same host
- *identifier* includes both IP address and port numbers associated with process on host.
- Example port numbers:
  - HTTP server: 80
  - Mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
  - IP address: 128.119.245.12
  - Port number: 80
- more shortly…

Message Format:

App-layer protocol defines

- Types of messages exchanged,
  - e.g., request, response
- Message syntax:
  - what fields in messages & how fields are delineated
- Message semantics
  - meaning of information in fields
- Rules for when and how processes send & respond to messages

Public-domain protocols:

- defined in RFCs
- e.g., HTTP, SMTP

Proprietary protocols:

- e.g., Skype

Requirements for Message Transport:

Data loss

- some apps (e.g., audio) can tolerate some loss
- other apps (e.g., file transfer, telnet) require 100% reliable data transfer

Timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

Bandwidth

- some apps (e.g., multimedia) require minimum amount of bandwidth to be "effective"
- other apps ("elastic apps") make use of whatever bandwidth they get

Why is bandwidth different from timing constraints?

Internet transport protocols services

TCP service:

- *connection-oriented:* setup required between client and server processes
- *reliable transport* between sending and receiving process
- *flow control:* sender won't overwhelm receiver
- *congestion control:* throttle sender when network overloaded
- *does not provide:* timing, minimum bandwidth guarantees

UDP service:

- unreliable data transfer between sending and receiving process
- does not provide: connection setup, reliability, flow control, congestion control, timing, or bandwidth guarantee

Q: why bother? Why is there a UDP?

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  - app requirements
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- 2.6 P2P file sharing
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP
- 2.9 Building a Web server

Web and HTTP

First some jargon

- Web page consists of objects
- Object can be HTML file, JPEG image, audio file,…
- Web page consists of base HTML-file which includes several referenced objects
- Each object is addressable by a URL
- Example URL:

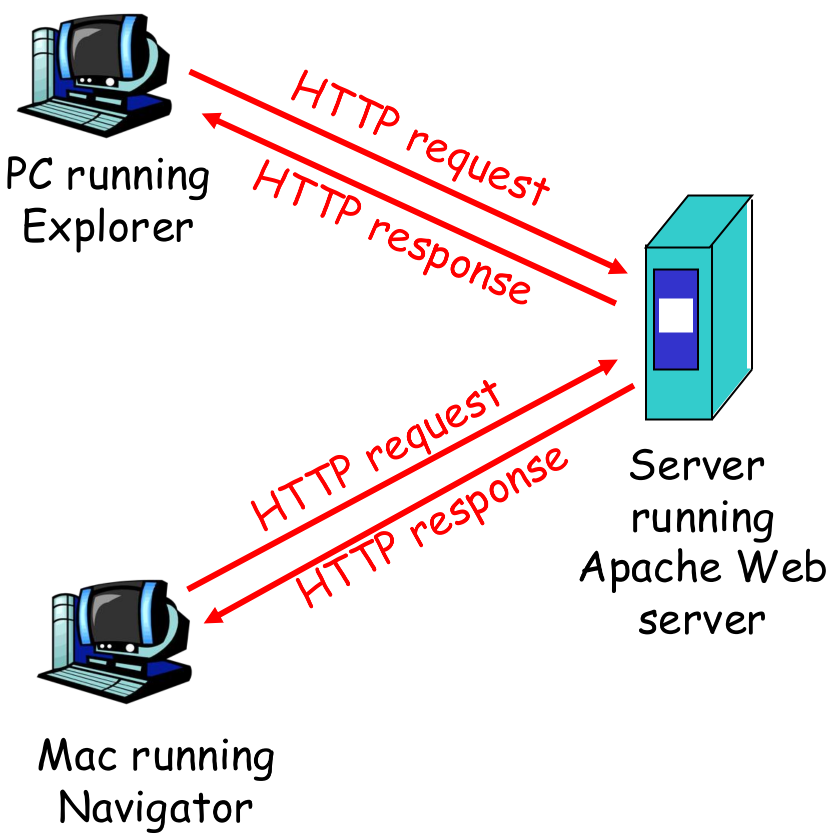
```
www.someschool.edu/someDept/pic.gif
```

host name | path name

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
  - *client:* browser that requests, receives, "displays" Web objects
  - *server:* Web server sends objects in response to requests
- HTTP 1.0: RFC 1945
- HTTP 1.1: RFC 2068



HTTP overview (continued)

Uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

HTTP is "stateless"

- server maintains no information about past client requests

aside

Protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

HTTP connections

Nonpersistent HTTP

- At most one object is sent over a TCP connection.
- HTTP/1.0 uses nonpersistent HTTP

Persistent HTTP

- Multiple objects can be sent over single TCP connection between client and server.
- HTTP/1.1 uses persistent connections in default mode

Nonpersistent HTTP

Suppose user enters URL

`www.someSchool.edu/someDepartment/home.index`

(contains text, references to 10 jpeg images)

1a. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80

1b. HTTP server at host www.someSchool.edu waiting for TCP connection at port 80. "accepts" connection, notifying client

2. HTTP client sends HTTP *request message* (containing URL) into TCP connection socket. Message indicates that client wants object someDepartment/home.index

3. HTTP server receives request message, forms *response message* containing requested object, and sends message into its socket

time

Nonpersistent HTTP (cont.)

4. HTTP server closes TCP connection.

5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

time

6. Steps 1-5 repeated for each of 10 jpeg objects

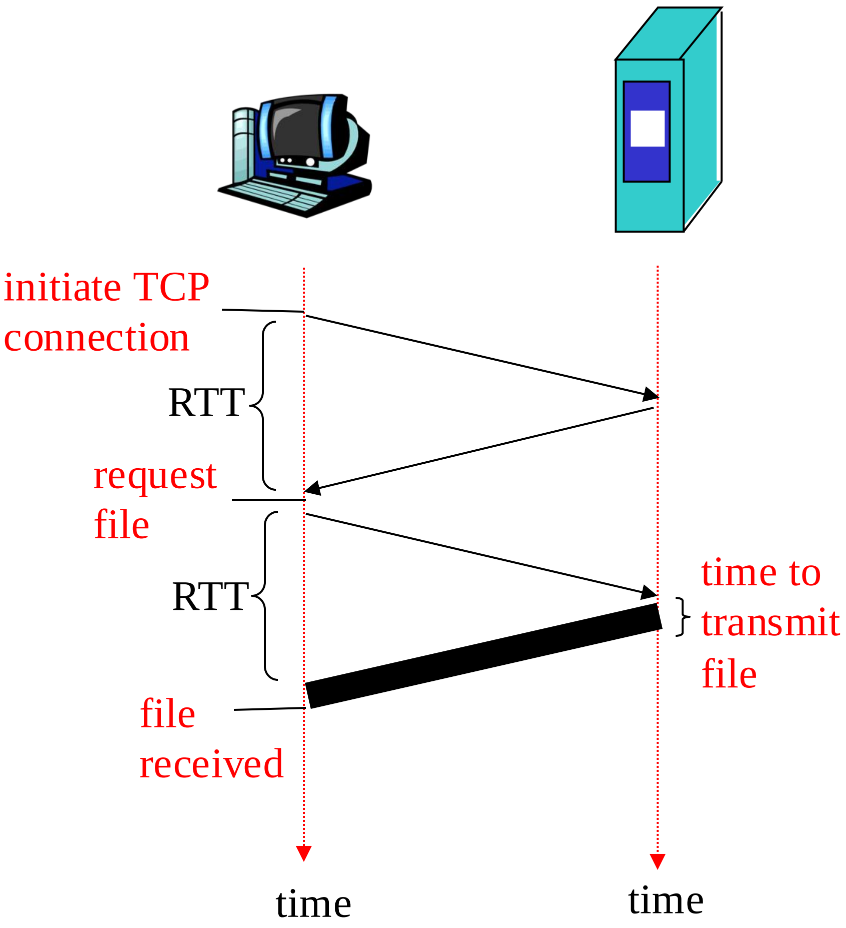
Non-Persistent HTTP: Response time

Round Trip Time (RTT) = time to send a small packet to travel from client to server and back.

Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- file transmission time

total = 2RTT+ <file transmit time>



Persistent HTTP

Nonpersistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open parallel TCP connections to fetch referenced objects

Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection

Persistent ***without*** pipelining:

- client issues new request only when previous response has been received
- one RTT for each referenced object

Persistent ***with*** pipelining:

- default in HTTP/1.1
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

HTTP request message

- two types of HTTP messages: *request, response*
- HTTP request message:
  - ASCII (human-readable format)

request line (GET, POST, HEAD commands)

header lines

Carriage return, line feed indicates end of message

```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
User-agent: Mozilla/4.0
Connection: close
Accept-language:fr
```

(extra carriage return, line feed)

Method types

HTTP/1.0

- GET
- POST
- HEAD
  - asks server to leave requested object out of response

HTTP/1.1

- GET, POST, HEAD
- PUT
  - uploads file in entity body to path specified in URL field
- DELETE
  - deletes file specified in the URL field

HTTP response message

status line (protocol status code status phrase)

```
HTTP/1.1 200 OK
Connection close
Date: Thu, 06 Aug 1998 12:00:15 GMT
Server: Apache/1.3.0 (Unix)
Last-Modified: Mon, 22 Jun 1998 …...
Content-Length: 6821
Content-Type: text/html

data data data data data ...
```

header lines

data, e.g., requested HTML file

HTTP response status codes

In first line in server->client response message.

A few sample codes:

200 OK

- request succeeded, requested object later in this message

301 Moved Permanently

- requested object moved, new location specified later in this message (Location:)

400 Bad Request

- request message not understood by server

404 Not Found

- requested document not found on this server

505 HTTP Version Not Supported

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User-server state: cookies

Many major Web sites use cookies

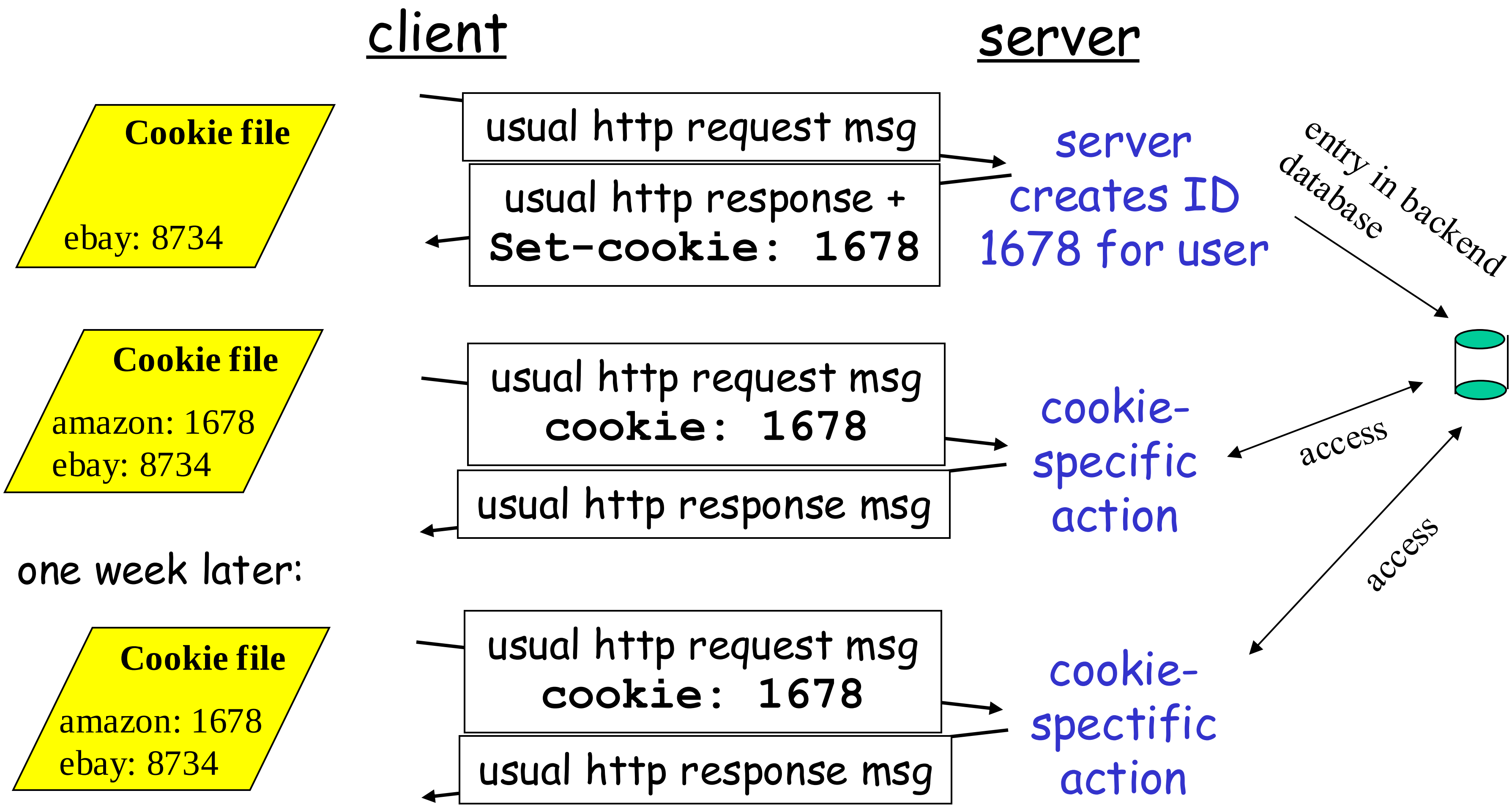
Four components:

1) cookie header line of HTTP *response* message
2) cookie header line in HTTP *request* message
3) cookie file kept on user's host, managed by user's browser
4) back-end database at Web site

Example:

- Susan access Internet always from same PC
- She visits a specific e-commerce site for first time
- When initial HTTP requests arrives at site, site creates a unique ID and creates an entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

What cookies can bring:

- authorization
- shopping carts
- recommendations
- user session state (Web e-mail)

aside

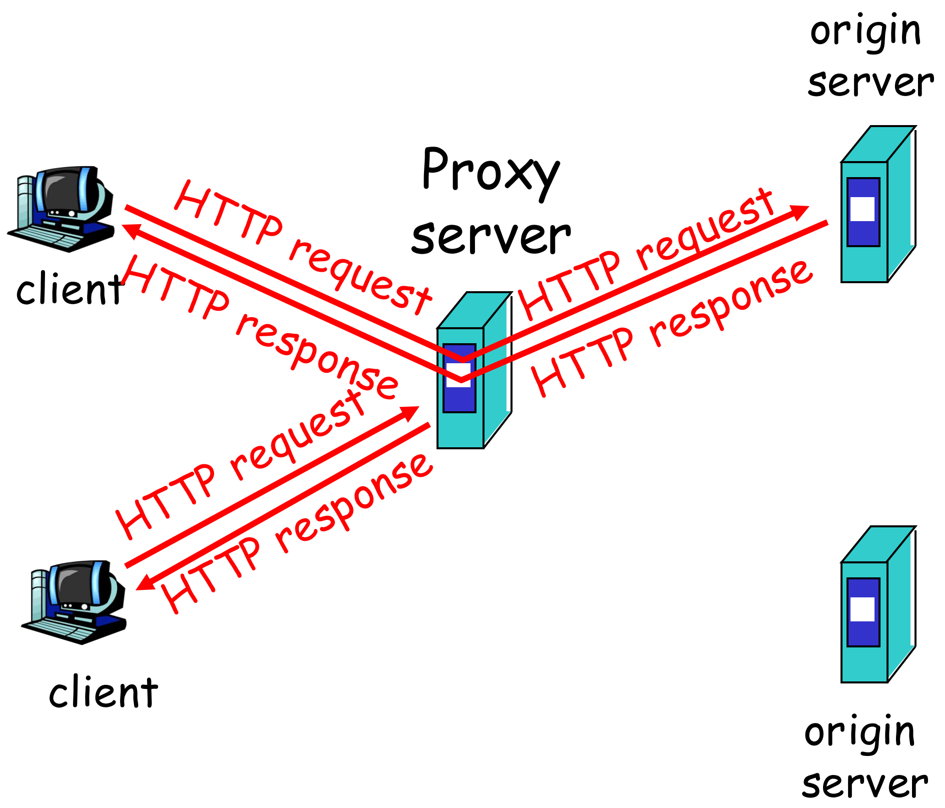
Cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites
- search engines use redirection & cookies to learn yet more
- advertising companies obtain info across sites

Web caches (proxy server)

Goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
  - object in cache: cache returns object
  - else cache requests object from origin server, then returns object to client



More about Web caching

- Cache acts as both client and server
- Typically cache is installed by ISP (university, company, residential ISP)

Why Web caching?

- Reduce response time for client request.
- Reduce traffic on an institution's access link.
- Internet dense with caches enables "poor" content providers to effectively deliver content

Caching example

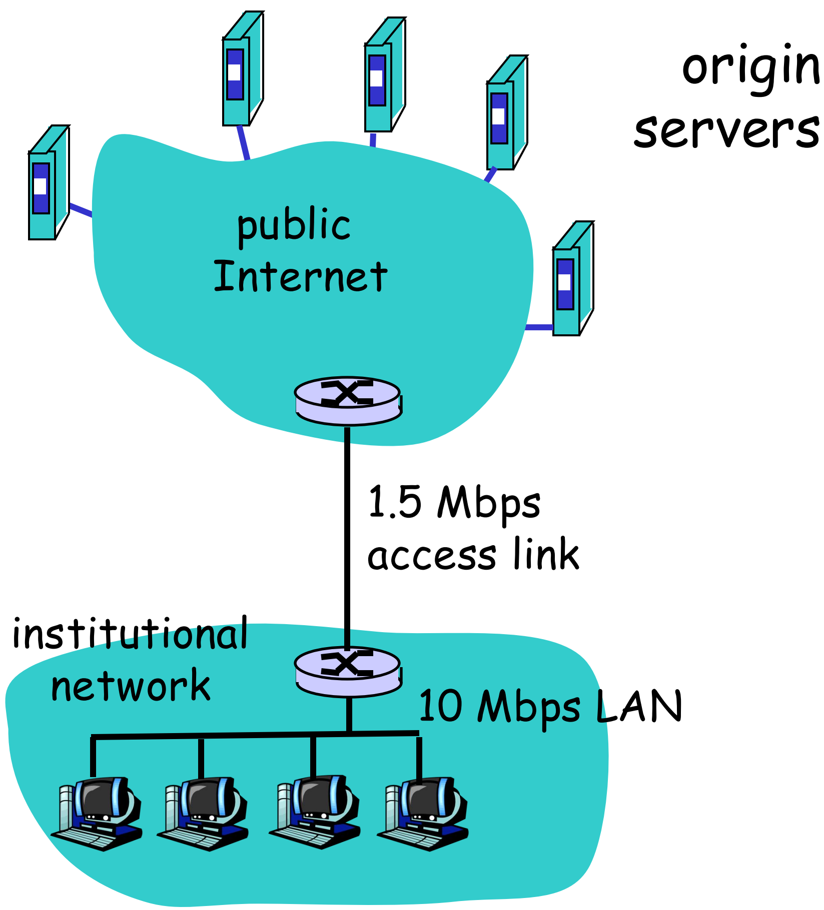
Assumptions

- average object size = 100,000 bits
- avg. request rate from institution's browsers to origin servers = 15/sec
- delay from institutional router to any origin server and back to router = 2 sec

Consequences

- utilization on LAN = 15%
- utilization on access link = 100%
- total delay = Internet delay + access delay + LAN delay

= 2 sec + minutes + milliseconds



Caching example (cont)

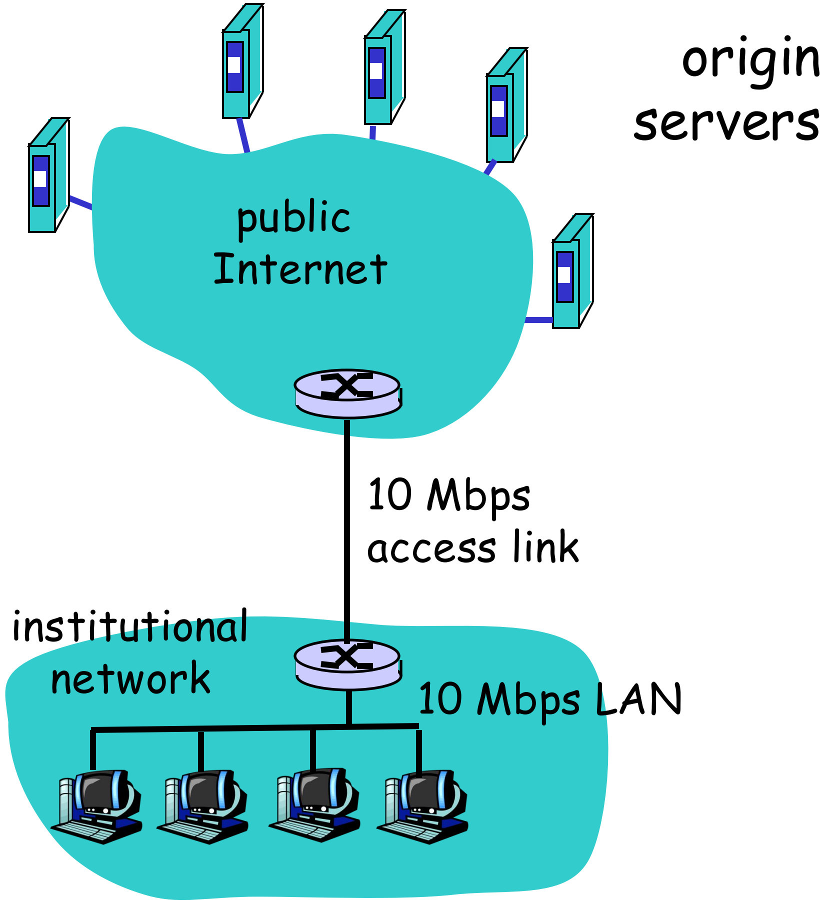
Possible solution

- increase bandwidth of access link to, say, 10 Mbps

Consequences

- utilization on LAN = 15%
- utilization on access link = 15%
- Total delay = Internet delay + access delay + LAN delay

  = 2 sec + msecs + msecs
- often a costly upgrade



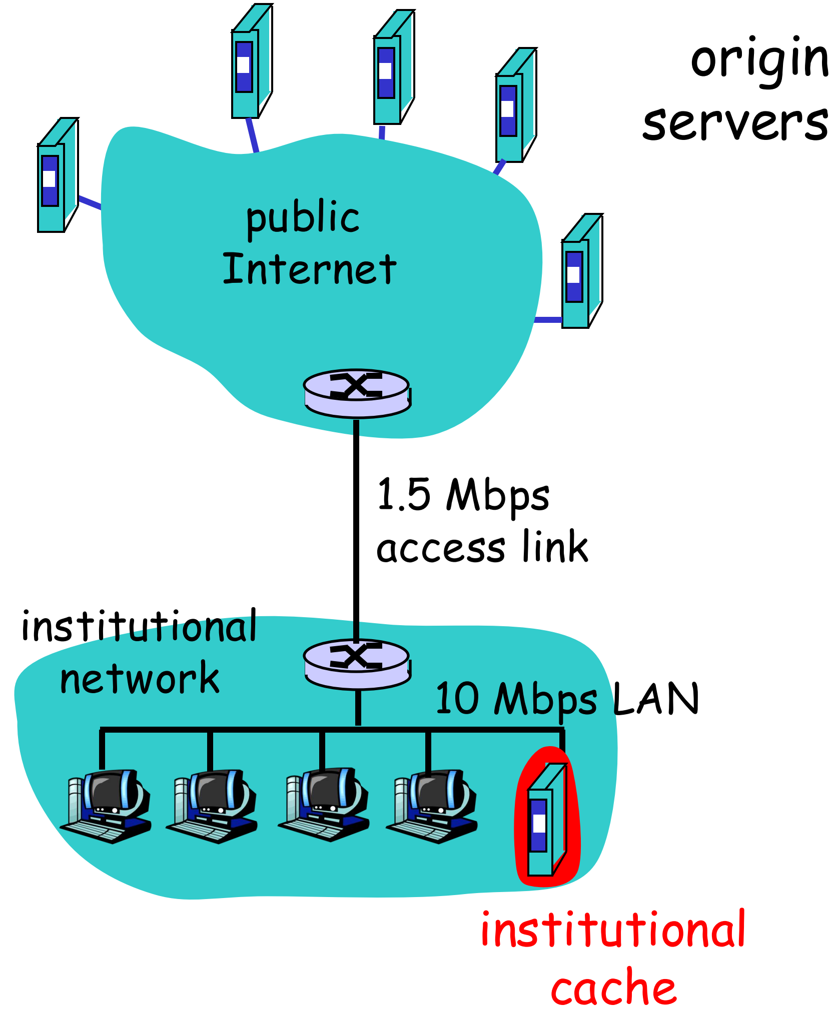
Caching example (cont)

Install cache

- suppose hit rate is .4

Consequence

- 40% requests will be satisfied almost immediately
- 60% requests satisfied by origin server
- utilization of access link reduced to 60%, resulting in negligible delays (say 10 msec)
- total avg delay = Internet delay + access delay + LAN delay = .6*(2.01) secs + .4*milliseconds < 1.4 secs



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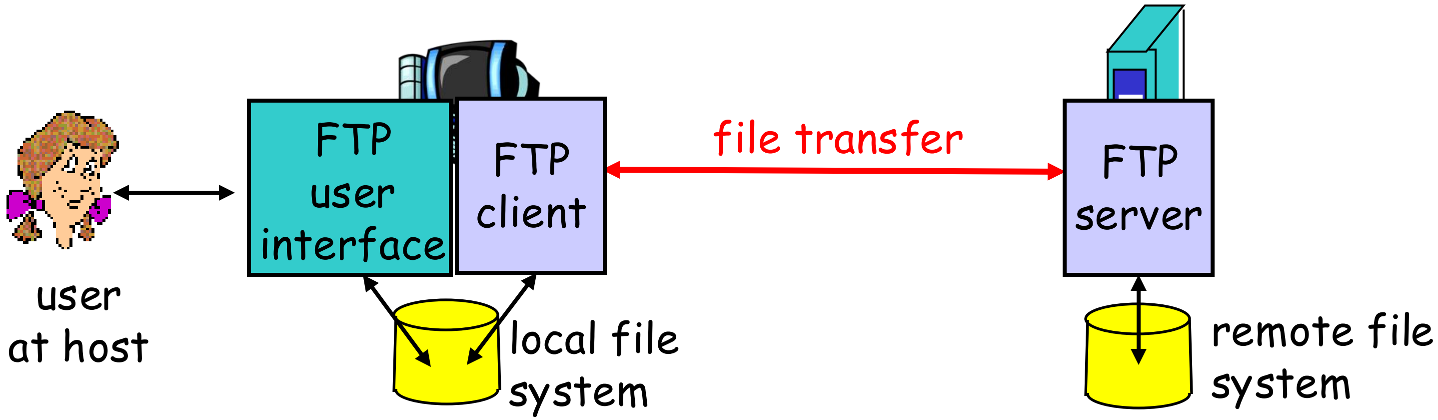
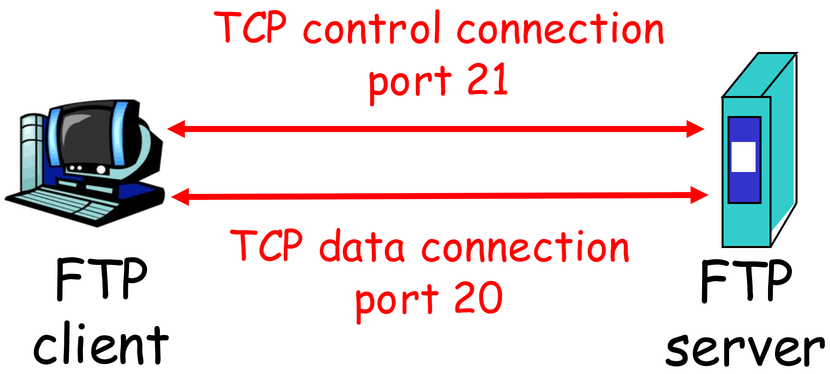
FTP: the file transfer protocol



- transfer file to/from remote host
- client/server model
  - *client:* side that initiates transfer (either to/from remote)
  - *server:* remote host
- ftp: RFC 959
- ftp server: port 21

FTP: separate control, data connections

- FTP client contacts FTP server at port 21, specifying TCP as transport protocol
  - Client obtains authorization
- Client browses remote directory by sending control commands
- When server receives a command, opens TCP data connection to client
- After transferring one file, server closes connection.



- Server opens a second TCP data connection to transfer another file.
- Control connection: "out of band"
- FTP server maintains "state": current directory, earlier authentication

FTP commands, responses

Sample commands:

- sent as ASCII text over control channel
- **USER *username***
- **PASS *password***
- **LIST** return list of file in current directory
- **RETR filename** retrieves (gets) file
- **STOR filename** stores (puts) file onto remote host

Sample return codes

- status code and phrase (as in HTTP)
- **331 Username OK, password required**
- **125 data connection already open; transfer starting**
- **425 Can't open data connection**
- **452 Error writing file**

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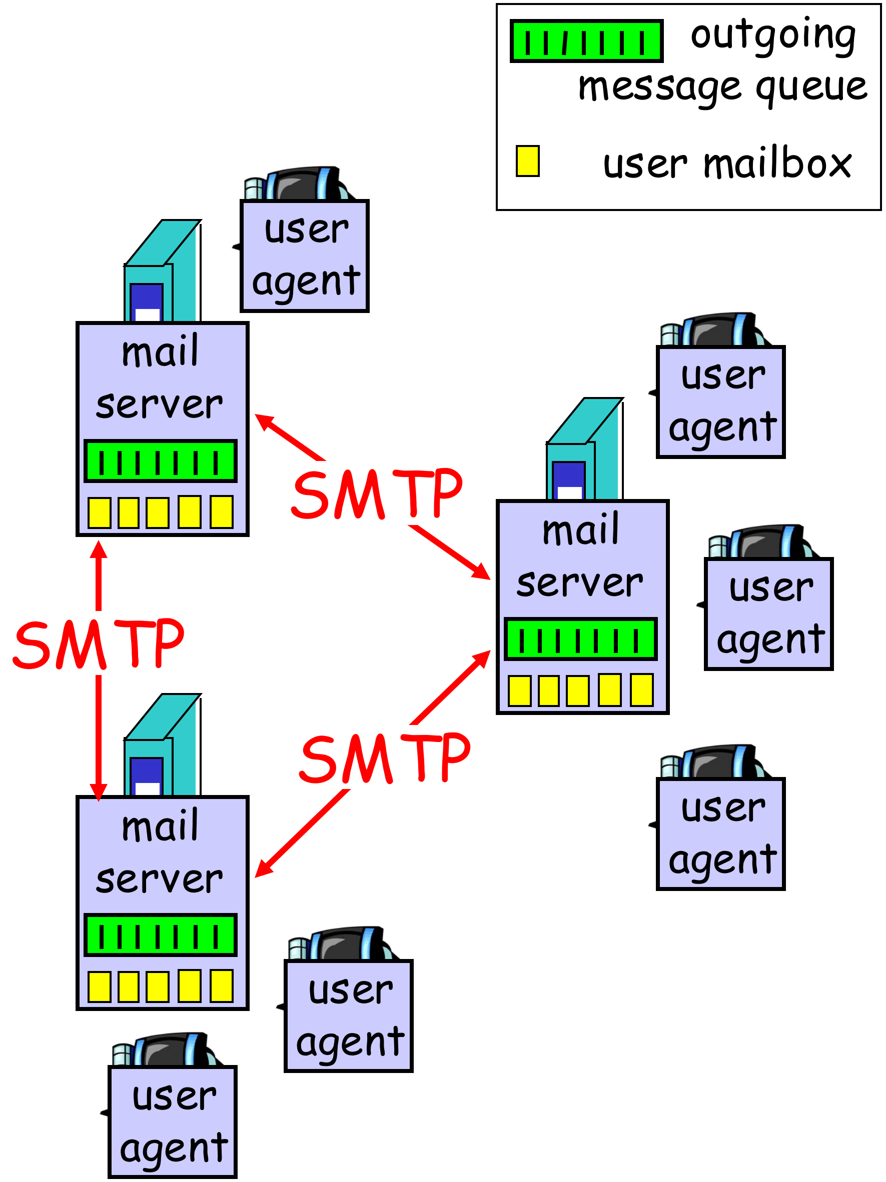
Electronic Mail

Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

User Agent

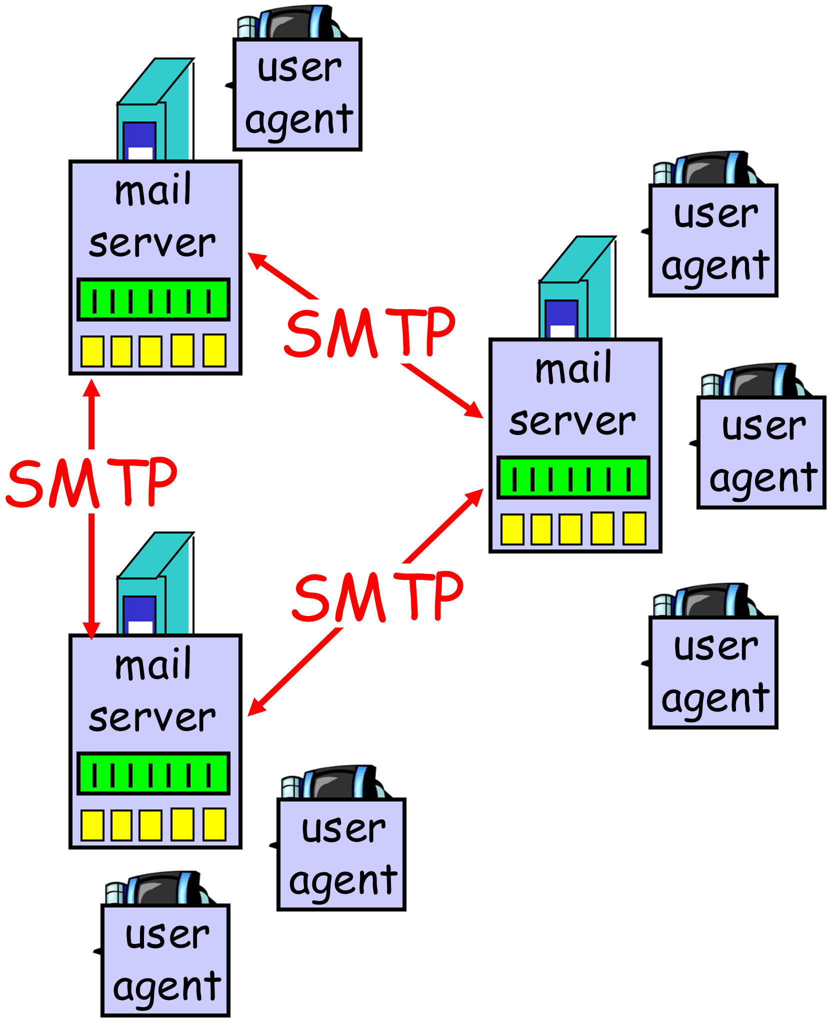
- a.k.a. "mail reader"
- composing, editing, reading mail messages
- e.g., Eudora, Outlook, elm, Netscape Messenger
- outgoing, incoming messages stored on server



Electronic Mail: mail servers

Mail Servers

- mailbox contains incoming messages for user
- message queue of outgoing (to be sent) mail messages
- SMTP protocol between mail servers to send email messages
  - client: sending mail server
  - "server": receiving mail server



Electronic Mail: SMTP [RFC 2821]

- uses TCP on port 25 to reliably transfer email
- direct transfer: sending server to receiving server
- three phases of transfer
  - handshaking (greeting)
  - transfer of messages
  - Closure
- command/response interaction
  - commands: ASCII text
  - response: status code and phrase

Scenario: Alice Emails Bob

1) Alice uses UA to compose message and "to" `bob@someschool.edu`

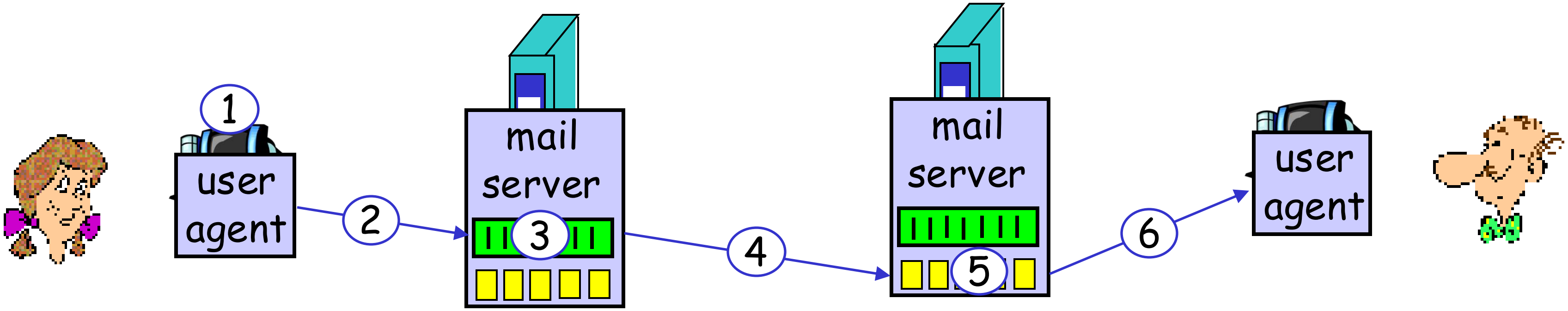
2) Alice's UA sends message to her mail server; message placed in message queue

3) Client side of SMTP opens TCP connection with Bob's mail server

4) SMTP client sends Alice's message over the TCP connection

5) Bob's mail server places the message in Bob's mailbox

6) Bob invokes his user agent to read message



SMTP: final words

- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7-bit ASCII
- SMTP server uses `CRLF.CRLF` to determine end of message

Comparison with HTTP:

- HTTP: pull
- SMTP: push
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response msg
- SMTP: multiple objects sent in multipart msg

Message format: multimedia extensions

- MIME: multimedia mail extension, RFC 2045, 2056
- additional lines in msg header declare MIME content type
  - Think of image attachments with your email

MIME version

method used to encode data

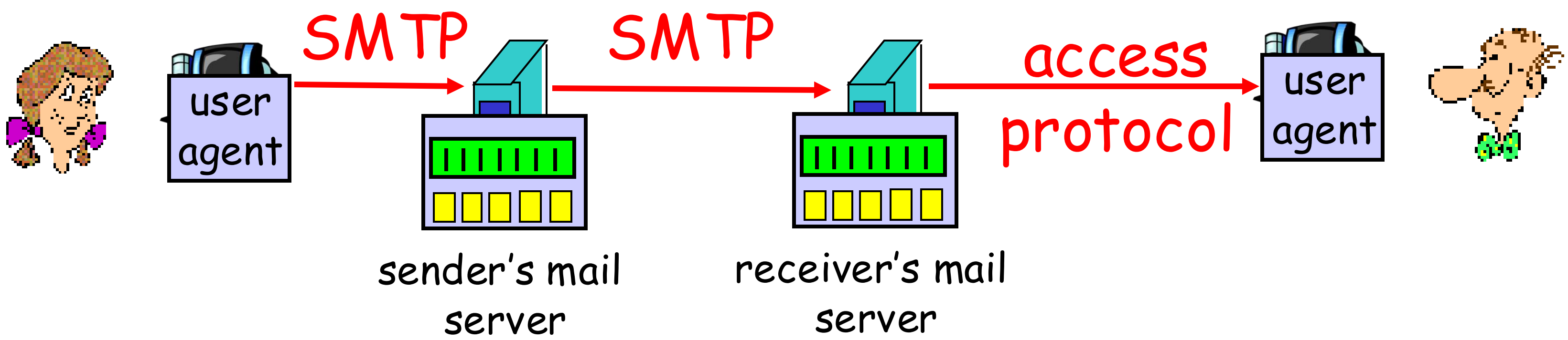
multimedia data type, subtype, parameter declaration

encoded data

```
From: alice@crepes.fr
To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg

base64 encoded data .....
.........................
......base64 encoded data
```

Mail access protocols



- SMTP: delivery/storage to receiver's server
- Mail access protocol: retrieval from server
  - POP: Post Office Protocol [RFC 1939]
    - authorization (agent <-->server) and download
  - IMAP: Internet Mail Access Protocol [RFC 1730]
    - more features (more complex)
    - manipulation of stored msgs on server
  - HTTP: Hotmail , Yahoo! Mail, etc.

What's the Difference?

POP3 (more) and IMAP

More about POP3

- Previous example uses "download and delete" mode.
- Bob cannot re-read e-mail if he changes client
- "Download-and-keep": copies of messages on different clients
- POP3 is stateless across sessions

IMAP

- Keep all messages in one place: the server
- Allows user to organize messages in folders
- IMAP keeps user state across sessions:
  - names of folders and mappings between message IDs and folder name